

501.43326X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Daisuke KATSUTA, et al.
Serial No.: 10/733,316
Filed: December 12, 2003
Title: METHOD OF TRADING INFORMATION
Group: 3639
Examiner: NELSON, Freda Ann
Confirmation No.: 9072

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

September 20, 2006

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find Form PTO/SB/08A listing two references, U.S. Patent Nos. 6,075,874 A and 6,282,362 B1, to be considered by the Examiner. Applicants note that since the references are U.S. Patents, a copy of the documents is not enclosed.

This Information Disclosure Statement is being submitted concurrently with the filing of a RCE Transmittal in the above-identified application. Clearly, requirements of 37 CFR §1.97(b) are satisfied.

In view of the foregoing, and again noting the concurrently filed RCE Transmittal, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

Docket No. 501.43326X00
Serial No. 10/733,316
Information Disclosure Statement

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 501.43326X00).

Respectfully submitted,

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LDT/vvr

Dated: September 20, 2006

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